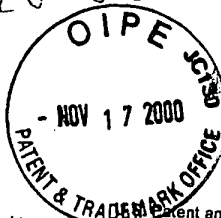


11/17/00

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|                                                                               |                               |                                                                                                            |
|-------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------|
| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br>(37 CFR 1.63)  | <b>Attorney Docket Number</b> | TJK/135                                                                                                    |
|                                                                               | <b>First Named Inventor</b>   | Barham, Scott                                                                                              |
|                                                                               | <b>COMPLETE IF KNOWN</b>      |                                                                                                            |
|                                                                               | <b>Application Number</b>     | /                                                                                                          |
|                                                                               | <b>Filing Date</b>            |                                                                                                            |
|                                                                               | <b>Group Art Unit</b>         |                                                                                                            |
| <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing | <b>OR</b>                     | <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) |
|                                                                               | <b>Examiner Name</b>          |                                                                                                            |

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Dextran Starch and Flocculant Combination for Improving Red Mud Clarification**

the specification of which (Title of the Invention)

☒ is attached hereto

**OR**

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country   | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed                | Certified Copy Attached? |                                     |
|-------------------------------------|-----------|----------------------------------|-------------------------------------|--------------------------|-------------------------------------|
|                                     |           |                                  |                                     | YES                      | NO                                  |
| US99/10961                          | PCT       | 19 MAY 1999<br>(19.05.99)        | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| PP 3704                             | Australia | 25 May 1998                      | <input type="checkbox"/>            | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
|                       |                          |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address belowName **TIMOTHY J. KEEFER**Address **WILDMAN, HARROLD, ALLEN & DIXON**Address **225 West Wacker Drive**City **Chicago**State **Illinois**ZIP **60606**Country **United States**Telephone **(312) 201-2000**Fax **(312) 201-2555**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR :**☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])**SCOTT**Family Name  
or Surname**BARHAM**Inventor's  
Signature

Date

Residence: City **Perth**

State

Country **Australia**Citizenship **Australian**Mailing Address **4 McGregor Road**Mailing Address **Palmyra, 6157**City **Perth**

State

ZIP **6157**Country **Australia****NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])**JAMES (Morton)**Family Name  
or Surname**TIPPETT**Inventor's  
Signature

Date

Residence: City **Oatley**

State

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City **Oatley**

State

ZIP **NSW 2223**Country **Australia**☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

|                        |                   |
|------------------------|-------------------|
| Application Number     | PP 3704 Australia |
| Filing Date            | 25 May 1998       |
| First Named Inventor   | BARHAM, Scott     |
| Group Art Unit         |                   |
| Examiner Name          |                   |
| Attorney Docket Number | TJK/135           |

I hereby appoint:

☐ Practitioners at Customer Number

☒ Practitioner(s) named below:

Place Customer  
Number Bar Code  
Label here

| Name              | Registration Number |
|-------------------|---------------------|
| Timothy J. Keefer | 35,567              |
| Thomas J. Ring    | 29,971              |
| Douglas S. Rupert | 44,434              |
| Carmen B. Patti   | 26,784              |
| Gary R. Gillen    | 35,157              |

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Individual Name

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

NALCO CHEMICAL COMPANY

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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